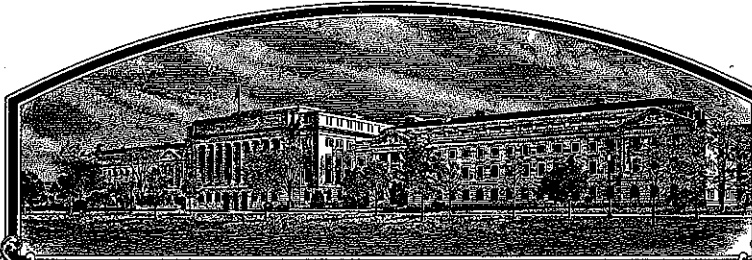


No.

200800063



# THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

NASH Research Foundation

Whereas, THERE HAS BEEN PRESENTED TO THE

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED PLANT THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR IMPORTING IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE FOREGOING PURPOSES, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

OAT

'Souris'

In Testimony Whereof, I have hereunto set my hand and caused the seal of the Plant Variety Protection Office to be affixed at the City of Washington, D.C. this sixteenth day of May, in the year two thousand and eight.

Attest:

Commissioner  
Plant Variety Protection Office  
Agricultural Marketing Service

Secretary of Agriculture



U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE  
SCIENCE AND TECHNOLOGY - PLANT VARIETY PROTECTION OFFICE

APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE  
(Instructions and information collection burden statement on reverse)

The following statements are made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a) and the Paperwork Reduction Act (PRA) of 1995.

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).

1. NAME OF OWNER <b>NDSU Research Foundation</b>		2. TEMPORARY DESIGNATION OR EXPERIMENTAL NAME <b>ND961161</b>	3. VARIETY NAME <b>Souris</b>
4. ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP Code, and Country) <b>C/O Executive Director 1735 NDSU Research Park Drive, PO Box 5002 Fargo, ND 58105-5002</b>		5. TELEPHONE (include area code) <b>701-231-8931</b>	FOR OFFICIAL USE ONLY PVPO NUMBER <b>200800063</b> FILING DATE <b>January 8, 2008</b>
		6. FAX (include area code) <b>701-231-6661</b>	
7. IF THE OWNER NAMED IS NOT A "PERSON", GIVE FORM OF ORGANIZATION (corporation, partnership, association, etc.) <b>NDSU Research Foundation 501 (c)(3) Corp.</b>	8. IF INCORPORATED, GIVE STATE OF INCORPORATION <b>North Dakota</b>	9. DATE OF INCORPORATION <b>May 1, 1989</b>	
10. NAME AND ADDRESS OF OWNER REPRESENTATIVE(S) TO SERVE IN THIS APPLICATION. (First person listed will receive all papers) <b>Michael McMullen, AES Plant Sciences Dept North Dakota State University PO Box 5051 Fargo, ND 58105-5051 Dale Zetocha, Executive Director NDSU Research Foundation 1735 NDSU Research Park Dr PO Box 58105-5002</b>			FILING AND EXAMINATION FEES: \$ <b>4382.00</b> DATE <b>1-8-2008</b> CERTIFICATION FEE: \$ <b>768.00</b> DATE <b>4/29/08</b>
11. TELEPHONE (Include area code) <b>701-231-8165</b>	12. FAX (Include area code) <b>701-231-8474</b>	13. E-MAIL <b>michael.mcmullen@ndsu.edu; dzetocha@ndsuf.org</b>	
14. CROP KIND (Common Name) <b>oats</b>	16. FAMILY NAME (Botanical) <b>Gramineae, Aveneae</b>	18. DOES THE VARIETY CONTAIN ANY TRANSGENES? (OPTIONAL) <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO IF SO, PLEASE GIVE THE ASSIGNED USDA-APHIS REFERENCE NUMBER FOR THE APPROVED PETITION TO DEREGULATE THE GENETICALLY MODIFIED PLANT FOR COMMERCIALIZATION.	
15. GENUS AND SPECIES NAME OF CROP <b>Avena sativa</b>	17. IS THE VARIETY A FIRST GENERATION HYBRID? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	20. DOES THE OWNER SPECIFY THAT SEED OF THIS VARIETY BE SOLD ONLY AS A CLASS OF CERTIFIED SEED? (See Section 83(a) of the Plant Variety Protection Act) <input type="checkbox"/> YES (If "yes", answer items 21 and 22 below) <input checked="" type="checkbox"/> NO (If "no", go to item 23) <input type="checkbox"/> UNDECIDED	
19. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Follow instructions on reverse) a. <input checked="" type="checkbox"/> Exhibit A. Origin and Breeding History of the Variety b. <input checked="" type="checkbox"/> Exhibit B. Statement of Distinctness c. <input checked="" type="checkbox"/> Exhibit C. Objective Description of Variety d. <input checked="" type="checkbox"/> Exhibit D. Additional Description of the Variety (Optional) e. <input checked="" type="checkbox"/> Exhibit E. Statement of the Basis of the Owner's Ownership f. <input checked="" type="checkbox"/> Exhibit F. Declaration Regarding Deposit g. <input checked="" type="checkbox"/> Voucher Sample (3,000 viable untreated seeds or, for tuber propagated varieties, verification that tissue culture will be deposited and maintained in an approved public repository) h. <input checked="" type="checkbox"/> Filing and Examination Fee (\$4,382), made payable to "Treasurer of the United States" (Mail to the Plant Variety Protection Office)		21. DOES THE OWNER SPECIFY THAT SEED OF THIS VARIETY BE LIMITED AS TO NUMBER OF CLASSES? <input type="checkbox"/> YES <input type="checkbox"/> NO IF YES, WHICH CLASSES? <input type="checkbox"/> FOUNDATION <input type="checkbox"/> REGISTERED <input type="checkbox"/> CERTIFIED	
23. HAS THE VARIETY (INCLUDING ANY HARVESTED MATERIAL) OR A HYBRID PRODUCED FROM THIS VARIETY BEEN SOLD, DISPOSED OF, TRANSFERRED, OR USED IN THE U. S. OR OTHER COUNTRIES? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO IF YES, YOU MUST PROVIDE THE DATE OF FIRST SALE, DISPOSITION, TRANSFER, OR USE FOR EACH COUNTRY AND THE CIRCUMSTANCES. (Please use space indicated on reverse.)		22. DOES THE OWNER SPECIFY THAT SEED OF THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS? <input type="checkbox"/> YES <input type="checkbox"/> NO IF YES, SPECIFY THE NUMBER 1,2,3, etc. FOR EACH CLASS. <input type="checkbox"/> FOUNDATION <input type="checkbox"/> REGISTERED <input type="checkbox"/> CERTIFIED (If additional explanation is necessary, please use the space indicated on the reverse.)	
24. IS THE VARIETY OR ANY COMPONENT OF THE VARIETY PROTECTED BY INTELLECTUAL PROPERTY RIGHT (PLANT BREEDER'S RIGHT OR PATENT)? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO IF YES, PLEASE GIVE COUNTRY, DATE OF FILING OR ISSUANCE AND ASSIGNED REFERENCE NUMBER. (Please use space indicated on reverse.)			

25. The owners declare that a viable sample of basic seed of the variety has been furnished with application and will be replenished upon request in accordance with such regulations as may be applicable, or for a tuber propagated variety a tissue culture will be deposited in a public repository and maintained for the duration of the certificate.

The undersigned owner(s) is(are) the owner of this sexually reproduced or tuber propagated plant variety, and believe(s) that the variety is new, distinct, uniform, and stable as required in Section 42, and is entitled to protection under the provisions of Section 42 of the Plant Variety Protection Act.

Owner(s) is (are) informed that false representation herein can jeopardize protection and result in penalties.

SIGNATURE OF OWNER <b>Dale Zetocha</b>		SIGNATURE OF OWNER	
NAME (Please print or type) <b>Executive Director</b>		NAME (Please print or type)	
CAPACITY OR TITLE	DATE <b>1/4/08</b>	CAPACITY OR TITLE	DATE

(See reverse for instructions and information collection burden statement)

**GENERAL INSTRUCTIONS:** To be effectively filed with the Plant Variety Protection Office (PVPO), **ALL** of the following items must be **received** in the PVPO: (1) Completed application form signed by the owner; (2) completed exhibits A, B, C, E, F; (3) for a tuber reproduced variety, verification that a viable (*in the sense that it will reproduce an entire plant*) tissue culture will be deposited and maintained in an approved public repository; and (4) payment by credit card or check drawn on a U.S. bank for \$4,382 (\$518 filing fee and \$3,864 examination fee), payable to "Treasurer of the United States" (See Section 97.6 of the Regulations and Rules of Practice). **NEW:** With the application for a seed reproduced variety or by direct deposit soon after filing, the applicant must provide at least 3,000 viable untreated seeds of the variety *per se*, and for a hybrid variety at least 3,000 untreated seeds of each line necessary to reproduce the variety. Partial applications will be held in the PVPO for not more than 90 days; then returned to the applicant as un-filed. Mail application and other requirements to Plant Variety Protection Office, AMS, USDA, Room 401, NAL Building, 10301 Baltimore Avenue, Beltsville, MD 20705-2351. Retain one copy for your files. All items on the face of the application are self explanatory unless noted below. Corrections on the application form and exhibits must be initialed and dated. **DO NOT** use masking materials to make corrections. If a certificate is allowed, you will be requested to send a payment by credit card or check payable to "Treasurer of the United States" in the amount of \$768 for issuance of the certificate. Certificates will be issued to owner, not licensee or agent.

**NOTES:** It is the responsibility of the applicant/owner to keep the PVPO informed of any changes of address or change of ownership or assignment or owner's representative during the life of the application/certificate. The fees for filing a change of address; owner's representative; ownership or assignment; or any modification of owner's name is specified in Section 97.175 of the regulations. (See Section 101 of the Act, and Sections 97.130, 97.131, 97.175(h) of the Regulations and Rules of Practice.)

**Plant Variety Protection Office**

**Telephone:** (301) 504-5518

**FAX:** (301) 504-5291

**General E-mail:** PVPOmail@usda.gov

**Homepage:** <http://www.ams.usda.gov/science/pvpo/PVPindex.htm>

**SPECIFIC INSTRUCTIONS:**

To avoid conflict with other variety names in use, the applicant must check the appropriate recognized authority and **provide evidence** that the permanent name of the application variety (even if it is a parental, inbred line) has been cleared by the appropriate recognized authority before the Certificate of Protection is issued. For example, for agricultural and vegetable crops, contact: U.S. Department of Agriculture, Agricultural Marketing Service, Livestock and Seed Programs, **Seed Regulatory and Testing Branch**, 801 Summit Crossing Place, Suite C, Gastonia, North Carolina 28054-2193 Telephone: (704) 810-8870. <http://www.ams.usda.gov/lsg/seed.htm>.

**ITEM**

- 19a. Give:
- (1) the genealogy, including public and commercial varieties, lines, or clones used, and the breeding method;
  - (2) the details of subsequent stages of selection and multiplication;
  - (3) evidence of uniformity and stability; and
  - (4) the type and frequency of variants during reproduction and multiplication and state how these variants may be identified
- 19b. Give a summary of the variety's distinctness. Clearly state how this application variety may be distinguished from all other varieties in the same crop. If the new variety is most similar to one variety or a group of related varieties:
- (1) identify these varieties and state all differences objectively;
  - (2) attach replicated statistical data for characters expressed numerically and demonstrate that these are clear differences; and
  - (3) submit, if helpful, seed and plant specimens or photographs (prints) of seed and plant comparisons which clearly indicate distinctness.
- 19c. Exhibit C forms are available from the PVPO Office for most crops; specify crop kind. Fill in Exhibit C (Objective Description of Variety) form as completely as possible to describe your variety.
- 19d. Optional additional characteristics and/or photographs. Describe any additional characteristics that cannot be accurately conveyed in Exhibit C. Use comparative varieties as is necessary to reveal more accurately the characteristics that are difficult to describe, such as plant habit, plant color, disease resistance, etc.
- 19e. Section 52(5) of the Act requires applicants to furnish a statement of the basis of the applicant's ownership. An Exhibit E form is available from the PVPO.
20. If "Yes" is specified (*seed of this variety be sold by variety name only, as a class of certified seed*), the applicant **MAY NOT** reverse this affirmative decision after the variety has been sold and so labeled, the decision published, or the certificate issued. However, if "No" has been specified, the applicant may change the choice. (See Regulations and Rules of Practice, Section 97.103).
23. See Sections 41, 42, and 43 of the Act and Section 97.5 of the regulations for eligibility requirements.
24. See Section 55 of the Act for instructions on claiming the benefit of an earlier filing date.

**22. CONTINUED FROM FRONT** (Please provide a statement as to the limitation and sequence of generations that may be certified.)

**23. CONTINUED FROM FRONT** (Please provide the date of first sale, disposition, transfer, or use for each country and the circumstances, if the variety (including any harvested material) or a hybrid produced from this variety has been sold, disposed of, transferred, or used in the U.S. or other countries.)

See attached page. Identified as #23.

**24. CONTINUED FROM FRONT** (Please give the country, date of filing or issuance, and assigned reference number, if the variety or any component of the variety is protected by intellectual property right (Plant Breeder's Right or Patent).)

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0581-0055. The time required to complete this information collection is estimated to average 1.4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

The U.S. Department of Agriculture (USDA) prohibits discrimination in all its programs and activities on the basis of race, color, national origin, age, disability, and where applicable, sex, marital status, familial status, parental status, religion, sexual orientation, genetic information, political beliefs, reprisal, or because all or part of an individual's income is derived from any public assistance program (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD).

#200800063

**PVP Application for 'Souris' oat (ND961161) by NDSU Research Foundation – CONTINUED**

**#23.** 'Souris' was first evaluated under a Material Transfer Agreement (MTA) in Canada, April 18, 2006. MTA's were used since, as well, and were for testing and evaluation only. No seed sales were allowed. 'Souris' was licensed in Canada in March 2007, and Plant Breeders' Rights in Canada were applied for on April 9, 2007. 'Souris' was evaluated in Canada in 2007, under the license. 'Souris' was distributed to the North Dakota Crop Improvement Association under contract for seed increase in April 2007.

200800063

**PVP Application for 'Souris'**

**Question 24.**

A variety named 'Dal' is in the early/distant parentage of Souris and was PVP protected. PVP was issued in 1979 as Certificate No. 7200136.

## 19 a. Exhibit A. Origin and Breeding History of 'Souris'

## Pedigree

ND90141/ND900118

ND90141 = ND894898/ND852107

ND894898 = R801441/ND820712

R801441 = synthetic hexaploid derived from an *Avena magna* / *A. longiglumis* hybrid by P. Rothman.

ND820712 =

M23/RL3038//Otana/3/Froker/RL3038//Hudson'

M23 = 'Avon'/'Rodney'/'Milford'

RL3038 is a breeding line received from R.

McKenzie (Agric. &amp; Agri-Food Canada Res. Stn.,

Winnipeg, MB. RL3038 has a complex pedigree

that includes 'Rodney' and 'Pendek' and possesses genes *Pc-38*, *Pc-39*, *Pg-2*, and *Pg-13*.

ND852107 = ND810603/'Otana'

ND810603 = 'Kelsey'/'Dal'/RL3038/Dal

ND900118 = MN78142/ND852158

MN78142 = 'Otter'/3/'Garland'/PI267989/MN836/Avon

Experimental Designation ND961161

## 19 a. Exhibit A. Origin and Breeding History of 'Souris'

Breeding Method –

Modified single seed descent and pedigree method

Selection and Multiplication –	Stage of development	Selection Criteria
1992 Fall greenhouse	Final cross	
1993 Spring greenhouse	F <sub>1</sub>	F <sub>1</sub> plants were uniform and seed from 5 plants was bulked to produce F <sub>2</sub> population
1993 Field	F <sub>2</sub> selection of single panicle	F <sub>2</sub> population was segregating for crown rust and stem rust resistance in the field. Plants resistant to both crown rust and stem rust were selected for advancement.
1993 Fall greenhouse	F <sub>3</sub> single seed descent accompanied by screening for seedling resistance to critical races of stem and crown rust.	Seedlings were inoculated with composite of crown rust races that were avirulent on Pc-91 and with stem rust race NA27. Seedlings exhibiting a resistant infection type were grown to maturity and seed from individual resistant F <sub>3</sub> plants were advanced to the field.
1994 Field	F <sub>4</sub> planted in hill plots from seed of single F <sub>3:4</sub> panicle F <sub>4</sub> panicles harvested from selected hill plots	Panicles from plants in hill plots exhibiting stem rust and crown rust resistance along with resistance to lodging and tolerance to barley yellow dwarf virus were harvested to provide seed for advancement to the F <sub>5</sub> .



## 19 a. Exhibit A. Origin and Breeding History of 'Souris'

## Breeding Method –

Modified single seed descent and pedigree method

Selection and Multiplication –	Stage of development	Selection Criteria
1995 Field	Seed from F <sub>4</sub> panicle planted to produce paired hill plots. Selected paired hill plot harvested to produce F <sub>4:5</sub> breeding line ND961161 that became the source of Souris breeder's seed.	Hill plots exhibiting homogeneity of crown rust resistance and stem rust resistance were selected for harvest. Lodging resistance, white hull color, and visual selection of kernel morphology were considered to further select plots that were identified for harvest. Harvested lines were evaluated as seedlings in the greenhouse using stem rust race NA27 and a composite of crown rust races to identify lines homogeneous for resistance to these diseases. These selected lines were advanced to the F <sub>6</sub> generation.
1996 Field	F <sub>6</sub> Preliminary screening trial – Unreplicated trial with repeating checks for purposes of comparison. 4-row plots.	Selection was based on lodging resistance, medium heading date, high grain yield, high test weight, kernel morphology, and resistance to stem and crown rust in the field. Stem rust and crown rust seedling resistance evaluation was repeated in the greenhouse. The experimental designation ND961161 was assigned from this trial.

## 19 a. Exhibit A. Origin and Breeding History of 'Souris'

Breeding Method –

Modified single seed descent and pedigree method

Selection and Multiplication –	Stage of development	Selection Criteria
1997 Field	F <sub>7</sub> Preliminary yield trial – two locations, two replications	Selection was based on lodging resistance, medium heading date, high grain yield, high test weight, high groat percentage, and resistance to stem and crown rust in the field. Stem rust and crown rust seedling resistance evaluation was repeated in the greenhouse to identify homogeneous resistant lines.
1998 Field	F <sub>7</sub> Advanced yield trial – Four locations, three replications per location	Selection was based on lodging resistance, medium heading date, high grain yield, high test weight, high groat percentage, and resistance to stem and crown rust in the field. Stem rust and crown rust seedling resistance evaluation was repeated in the greenhouse.
1999 Field	F <sub>8</sub> Tri-State Oat Nursery, 3 ND, 3 MN, and 3 SD locations Increase plot rouged of tall variants to initiate production of breeder seed	Evaluation was based on lodging resistance, medium heading date, high grain yield, high test weight, high groat percentage, and resistance to stem and crown rust in the field. Stem rust and crown rust seedling resistance was evaluated in the greenhouse.

## 19 a. Exhibit A. Origin and Breeding History of 'Souris'

Breeding Method –

Modified single seed descent and pedigree method

Selection and Multiplication –	Stage of development	Selection Criteria
2000 Field	F <sub>9</sub> North Dakota Oat Variety Trials at ten locations (NDOVT) and UMOPN at 22 locations. Increase plot evaluated for homogeneity and tall variants were removed.	ND961161 that became Souris was determined to produce high grain yield, medium high test weight, and white hull color. Stem rust and crown rust resistance was evaluated at many locations and ND961161 was identified to have stable crown rust resistance and resistance to stem rust race NA27. Stem rust and crown rust seedling resistance evaluation was repeated in the greenhouse.
2001 Field	F <sub>9</sub> North Dakota Oat Variety Trials at ten locations (NDOVT) and UMOPN at 22 locations. Increase plot evaluated for homogeneity and tall variants were removed.	ND961161 that became Souris was determined to produce high grain yield, medium high test weight, and white hull color. Stem rust and crown rust resistance was evaluated at many locations and ND961161 was identified to have stable crown rust resistance and resistance to stem rust race NA27. Stem rust and crown rust seedling resistance evaluation was repeated in the greenhouse.

## 19 a. Exhibit A. Origin and Breeding History of 'Souris'

Breeding Method –

Modified single seed descent and pedigree method

Selection and Multiplication –	Stage of development	Selection Criteria
2002 ND Field	NDOVT at 10 locations and UMOPN	ND961161 that became Souris was determined to produce high grain yield, medium high test weight, high groat beta-glucan concentration, and white hull color. Stem rust and crown rust resistance was evaluated at many locations and ND961161 was identified to have stable crown rust resistance and resistance to stem rust race NA27
2003 Field	F <sub>11</sub> NDOVT at 10 locations	Evaluation continued for all characteristics evaluated in 2002
2004 Field	F <sub>12</sub> NDOVT at 10 locations	Evaluation continued for all characteristics evaluated in 2003
2005 Field	F <sub>13</sub> NDOVT at 10 locations Preliminary increase by Foundation Seed Stocks Project	Evaluation continued for all characteristics evaluated in 2004
2006 Field	F <sub>14</sub> NDOVT at 10 locations Distribution of Foundation Seed and release as cultivar	Evaluation continued for all characteristics evaluated in 2005

*Evidence of uniformity and stability:*

Souris has been observed to be uniform and stable for stem rust resistance and crown rust resistance for ten generations from the original F<sub>4.5</sub> that was designated ND961161 in 1996 until release in 2006. Souris appears otherwise uniform and stable.

*The type and frequency of variants during reproduction and multiplication and how these variants may be identified:*

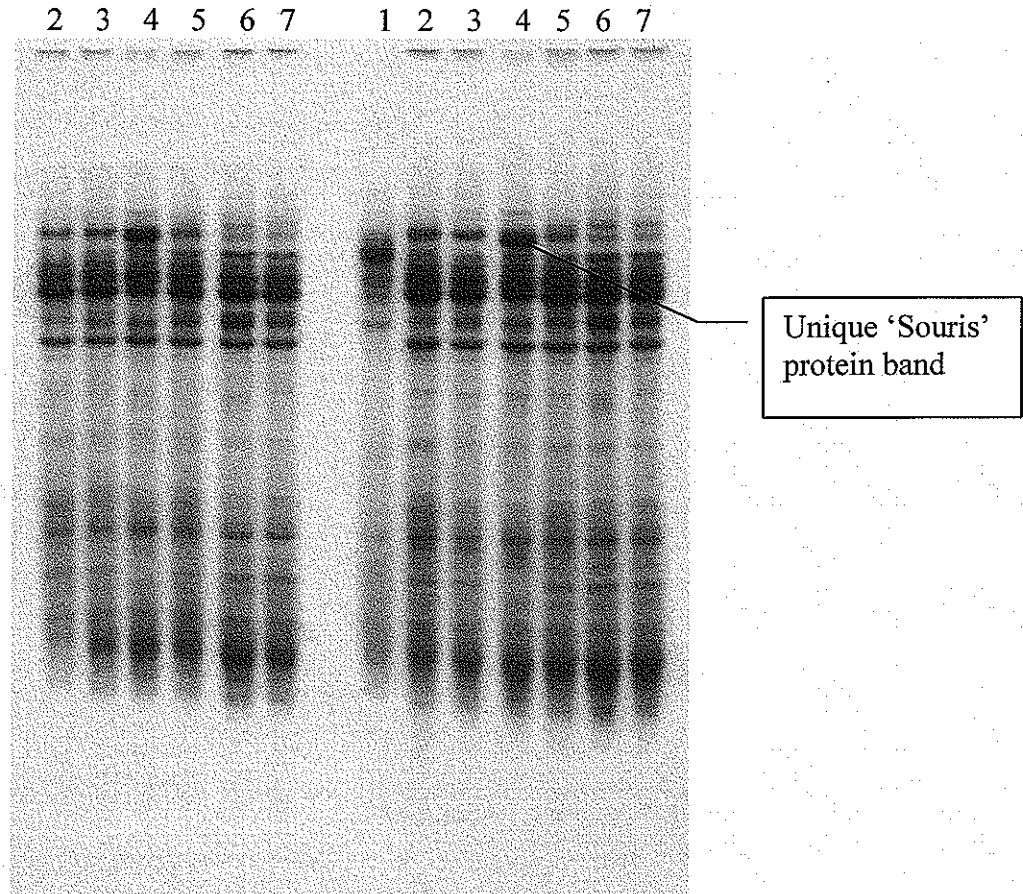
Lemma and palea are white and 93% of lemmas are fluorescent under irradiation with a UV light source while approximately 7% are weakly fluorescent or non-fluorescent.

Awns are normally absent, but weak awns may occur under some environmental conditions. A few tall variants (0.5%) may be present under some conditions. These naturally occurring tall variants are obvious under some environmental conditions that favor increased plant height.

## 19 b. Exhibit B. Statement of distinctness.

'Souris' is a spring oat that is most similar to 'HiFi' in appearance. Souris, like HiFi, possesses a crown rust resistance gene, *Pc-91*, derived from Amagalon, a synthetic hexaploid developed from a cross between *Avena longiglumis* and *A. magna* developed by P.G. Rothman. Evidence for the presence of *Pc-91* is provided by the highly resistant (;) seedling infection type after inoculation with a composite (NDCRC05) of isolates collected in North Dakota during the past 10 years (Exhibit D, Table 4). This reaction distinguishes Souris and HiFi from other North American oat cultivars. Souris also possesses resistance to stem rust race NA27 conferred by Pg-13 plus other unknown resistance genes as indicated by seedling infection type 1 (moderately resistant) when inoculated with stem rust race NA27 (Exhibit D, Table 5). Souris and HiFi are similar in appearance to 'Otana', but can be readily distinguished from Otana since Otana is susceptible to the critical races of stem rust and crown rust while Souris and HiFi produce resistant reactions when challenged with these races (Exhibit D, Tables 4 and 5). Souris can be distinguished from HiFi by seed protein polyacrylamide gel electrophoresis (PAGE) as illustrated in the attached figure. The PAGE procedure for oats was modified by the North Dakota State Seed Department (NDSSD) from the ISTA (International Seed Testing Association) publication procedure for variety testing of wheat. The NDSSD modified procedure uses a modification of the gel run time. The ISTA procedure is referenced in the 1992 Handbook of Variety Testing edited by R.J. Cooke. The procedure is on pages 2-5 through 2-6. A copy of the relevant section of the handbook (pages 2-5 and 2-6) is attached. The modified procedure for oat seed protein analysis used by the NDSSD is also attached. Application of the PAGE procedure to Souris, HiFi, and Morton provides a clear distinction in protein banding pattern to distinguish Souris from the other cultivars.

8/21/07

**Oat Seed Protein Electrophoresis Test Results**

NDSSD Acid PAGE Analysis of Oat seed protein. Samples submitted for testing on 8-09-07 by NDSU Plant Science Department (Dr. McMullen). Sample lanes represent: 1 = Morton Oat control; 2 = HiFi Oat control; 3 = L2700212 (HiFi sample); 4 = L2700211 (Souris sample); 5 = L2700213 (ND030291 sample); 6=L2700214 (ND030288 sample); 7=L2700215 (ND030299 sample). Source: Jeff Prischmann, Diagnostic Lab Manager, NDSSD.

A. ISTA Standard Reference Method for the Identification of Varieties of Wheat and Barley by Polyacrylamide Gel Electrophoresis (PAGE)

A. 2.3 Solutions

#200800063

A. 1. Principle

The alcohol-soluble proteins (gliadins from wheat, hordeins from barley) are extracted from seeds and separated by PAGE at pH 3.2. The pattern of protein bands produced (electrophoregram) is related to genetic constitution and can be considered as a 'fingerprint' of a variety. The 'fingerprints' can be used to identify unknown samples and mixtures, by single seed analysis.

As a guideline, it is recommended that 100 seeds are used. Very precise estimates of varietal purity may require a larger sample. If a comparison is being made with a standard value, sequential testing using batches of 50 seeds can be undertaken in order to minimise the workload. A simple check on the identity of a single major constituent of a seed lot can be done using less than 50 seeds.

A. 2. Apparatus and Equipment

A. 2.1 The Pharmacia GE-2/4 electrophoresis apparatus and EPS 400/500 power supply have been successfully used, but any suitable vertical electrophoresis system eg. Desaga, BioRad, Biometra should give comparable results.

A. 2.2 Chemicals

All chemicals should be of 'Analytical Reagent' grade or better.

Acrylamide ('specially purified for electrophoresis')  
Bisacrylamide ('specially purified for electrophoresis')  
Urea  
Glacial acetic acid  
Glycine  
Ferrous sulphate  
Ascorbic acid  
Hydrogen peroxide (or ammonium persulphate and TEMED)  
Monothioglycerol (or 2-mercaptoethanol)  
Pyronin G (or methyl green)  
Trichloroacetic acid  
Ethanol  
2-chloroethanol  
PAGE Blue G-90 (or PAGE Blue 83) (or any reagent equivalent to the 'Coomassie Brilliant Blue' G or R series of dyes).

A. 2.3.1 Extraction solution

Wheat: Pyronin G (or methyl green)	0.05%
2-chloroethanol	25%

Keep cold.

Barley: Pyronin G (or methyl green)	0.05%
2-chloroethanol	20%
containing Urea	18%
monothioglycerol	1%
(or 2-mercaptoethanol)	1%

Keep cold or prepare fresh.

A. 2.3.2 Tank buffer solution:

Glacial acetic acid	4 ml
Glycine	0.4 g

Made up to 1l with water; keep cold.

A. 2.3.3 Gel buffer solution:

Glacial acetic acid	20 ml
Glycine	1.0 g

Made up to 1l with water; keep cold.

A. 2.3.4 Staining solution:

1	Trichloroacetic acid	100 g
	Water	1 l
2	PAGE Blue G-9 (or PAGE Blue 83)	1 g
	Ethanol	100 ml



### A. 3. Procedure

#### A. 3.1 Protein extraction

Single seeds are crushed with pliers or a similar implement and transferred to 1.5 ml polypropylene centrifuge tubes or to the wells of a micro-titer plate. Extraction solution (A. 2.3.1) (0.2 ml for wheat, 0.3 ml for barley) is added, the contents of the tubes or plates are thoroughly mixed and the tubes are allowed to stand (covered or sealed) overnight at room temperature. The tubes are centrifuged at 18000 xg and the supernatants used for electrophoresis.

#### A. 3.2 Preparation of the gel

Clean and dry gel cassettes are assembled, according to the design of the equipment. Treating the glass plates with silicon prior to assembly can facilitate subsequent removal of the gel. The gel cassettes can incorporate a plastic backing sheet (eg 'Gel Bond PAG', FMC Corporation). This supports the gel during subsequent operations.

##### Gel Medium

Gel buffer (A2.3.3)	60 ml ca
Acrylamide	10 g
Bisacrylamide	0.4 g
Urea	6 g
Ascorbic acid	0.1 g
Ferrous sulphate	0.005 g

*Stir the solution and make up to 100 ml with*

*Stock gel buffer (A.2.3.3.)*

*Add, mixing quickly*

*freshly prepared 0.6% (v/v) Hydrogen peroxide 0.35 ml  
per 100 ml gel medium*

*Pour the gel.*

*(Note: the gel mixture can be cooled to near freezing prior to the addition of the peroxide.)*

Polymerisation should be complete in 5–10 minutes. If not, it may be necessary to adjust the concentration of hydrogen peroxide added. An acrylic 'comb' is placed in the top of the cassette, to make wells in the gel. The gel mixture should over-fill the cassette, or be over-layed with water, to ensure satisfactory polymerisation of the upper surface.

Note that as an alternative to the hydrogen peroxide catalyst, it is possible to use ammonium persulphate (0.1 ml of 10% solution, freshly prepared) and TEMED (0.3 ml) added to the gel mixture prior to pouring the gel.

#### A. 3.3 Electrophoresis

The acrylic comb is removed from the gel and the sample wells washed with tank buffer (A.2.3.2). The tank is filled with an appropriate volume of buffer (A. 2.3.2) (depending on the equipment used). Samples (10–20 µl) are loaded into the wells and the gel placed in the tank, ensuring that the sample wells are completely filled. Electrophoresis is carried out at no more than 500 V (constant voltage) for twice the time taken for the pyronin G marker dye to leave the gel, or three times if methyl green is used as a tracking dye. It must be remembered that the anode (positive electrode) is at the origin (top of the gel) in this system and the polarity of the electric field should be adjusted accordingly. Water should be circulated through the buffer tank to maintain the temperature at 15–20° C.

#### A. 3.4 Fixing and staining

The gel cassette is removed from the tank, opened and the gel placed in a plastic or glass box containing 5–10 ml of 1% PAGE Blue G90 (or PAGE Blue 83) in 200 ml of 10% trichloroacetic acid (A.2.3.4). Staining is complete in 1–2 days at room temperature and de-staining is not usually needed. Precipitated stain should be scraped from the surface of the gel. The gel is washed in water to enhance the stain and can then be examined or photographed. Any blue background in the gel is removed by washing in 10% trichloroacetic acid. Gels can be stored in polythene bags at 4° C for many months without deterioration.

Typical results produced using the above procedure, and methods of utilising and reporting the electrophoretic data are presented in Section 3 of the Handbook.

One of the benefits of the ISTA standard reference method is that it can be utilised, with little or no modification, for prolamins analysis and variety identification in other cereals such as oats, durum wheat, triticale and rye (4). The Electrophoresis Working Group will be organising a collaborative test of the method for oats identification, with a view to including this in the International Rules. Rice and maize varieties have also been reported as being successfully analysed using essentially this procedure.

**ISTA Varietal Identification Procedure for Wheat and Oats Using  
Bulked Seed Analysis (North Dakota State Seed Department Protocol-  
revised 2006)**

1. **Sample preparation:** Place approximately 100 seed into a coffee grinder and grind for about 20 seconds. Pass sample through a #9 and #4 dodder/purity test sieves (Hoffman Manufacturing, Inc.). Weigh out 0.15g of ground and sieved sample and place into a 1.8ml capped centrifuge tube containing 0.6ml of 2CE extraction buffer (see recipe below). Vortex and incubate overnight at 4°C. Prior to use, centrifuge at 8000g for 5 minutes. Apply 6-8ul of supernatant to the gel. Prepare control samples in the same manner as the samples.
2. **Gel preparation:** Prepare a 10% acrylamide gel using the reagents and procedures listed below. The gel should be prepared a day in advance or 2 hours prior to usage. Glass plates are cleaned and coated with Rain-X to aid in gel removal from the glass. Our lab uses the Bio-Rad Protein II system with 16x20cm gels and a 25 well comb. Electrophoresis is run using a cooling system to maintain a gel temperature of 20°C.
3. **Electrophoresis:** Electrophoresis is carried out under constant voltage of 500 volts for 5.5 hours for wheat and 2.5 hours for oats.
4. **Gel staining:** After electrophoresis, gels are removed and placed into trays containing 300 ml distilled water with 50 g trichloroacetic acid and 10ml of 2% coomassie blue G-250 in 95% EtOH (see below). Stain gels overnight for best results. Destain gels as necessary with water to remove excess stain. Gels can be scanned or dried using cellophane sheets to keep permanently.
5. **Gel interpretation:** Gels are scored visually using a light box. Interpretation can also be conducted using our Kodak Imaging System.
6. **Recipes:**

2CE extraction buffer

150ml 2-chloroethanol  
350ml DI water  
1 ml of 1% methyl green  
store at 4°C

Gel Buffer 1X

20 ml glacial acetic acid  
1.0g glycine  
1.45g ascorbic acid  
add DI water to 1 liter  
adjust pH to 3.1 if necessary

10X Tank Buffer

160ml glacial acetic acid  
16g glycine  
DI water to 4 liters

Gel recipe (2 gels)

68.75ml gel buffer  
31.25ml 40% acrylamide  
25ml 2% bis-acrylamide  
300 ul of 0.1% FeSO4  
use 110 ul of H2O2 to polymerize

G-250 stain solution

4 g G-250  
200 ml 95% ethanol

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 051-0055. The time required to complete this information collection is estimated to average 1.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

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To file a complaint of discrimination, write to USDA, Director, Office of Civil Rights, 1400 Independence Avenue, S.W., Washington, D.C. 20250-9410, or call (800) 795-3272 (voice) or (202) 720-6382 (TDD). USDA is an equal opportunity provider and employer.

**U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE  
SCIENCE AND TECHNOLOGY  
PLANT VARIETY PROTECTION OFFICE  
BELTSVILLE, MD 20705**

Exhibit C

**OBJECTIVE DESCRIPTION OF VARIETY  
Oat (*Avena* spp.)**

<b>NAME OF APPLICANT (S)</b> NDSU Research Foundation	<b>TEMPORARY OR EXPERIMENTAL DESIGNATION</b> ND 961161	<b>VARIETY NAME</b> Souris
<b>ADDRESS (Street and No. or RD No., City, State, Zip Code, and Country)</b> c/o Executive Director NDSU Research Foundation PO Box 5002, Fargo, ND 58105-5002		<b>FOR OFFICIAL USE ONLY</b> <b>PVPO NUMBER</b> <b>#200800063</b>

Place the appropriate number that describes the varietal character of this variety in the boxes below. Place a zero in the first box (i.e.    or   ) when the number is either 99 or less or 9 or less.

**1. SPECIES:**1 = *Sativa*2 = *Byzantina*

3 = Other (Specify) \_\_\_\_\_

**2. GROWTH HABIT:**

1 = Winter

2 = Semi-Winter

3 = Spring

Juvenile Growth:

1 = Prostrate

2 = Semi-Prostrate

3 = Erect

**3. MATURITY: (50% Flowering)**  

Number of days

No. Days Earlier Than

\* Otana

Same as Check

\* HiPi

No. of Days Later Than

\* Hytest

Season:

1 = Very Early (Jaycee) 2 = Early (Nodaway 70) 3 = Mid-Season (Clintford)

4 = Late (Lodi) 5 = Very Late (Gerry) 6 = Extremely Late (Mackinaw)

**4. PLANT HEIGHT: (From Soil Level to Top of Head)**  

cm Tall

cm Shorter Than

\* Otana

Same as Check

\* AC Ronald 

cm Taller Than

\* Killdeer

\* Relative to a Commercial Variety Grown in the Same Trial

**5. STEM:**

Diameter: 1 = Fine (Kherson) 2 = Medium (Clintford) 3 = Coarse (Nodaway 70)  
 Hairiness at Upper Culm Nodes: 1 = Hairless 2 = Hairy  
 Mature Stem Color 1 = Yellow 2 = Reddish

**6. LEAF:** (Leaf Color: The Royal Horticultural Society's or any recognized color chart should be used to determine the leaf color of the described variety.)

Carriage: 1 = Drooping (Random) 2 = Erect (Walken)  
 Color: 1 = Yellow-Green 2 = Light Green 3 = Dark Green 4 = Blue-Green  
  mm Width (First leaf below flag leaf)  Leaf Margin: 1 = Glabrous 2 = Ciliate  
 Ligule: 1 = Absent 2 = Present  Leaf Sheath: 1 = Hairless 2 = Hairy

**7. HEAD:**

Panicle Shape: 1 = Equilateral 2 = Intermediate 3 = Side Panicle (Unilateral)  
 Attachment of Lower Whorl of Branches: 1 = First Node 2 = Second Node (False Node)  
 Panicle Size: 1 = Small (Yancey) 2 = Medium (Walken) 3 = Large (Markton)  
 Panicle Width: 1 = Narrow (Gopher) 2 = Midbroad (Yancy) 3 = Broad (Nodaway 70)  
  cm Panicle Length   Number of Branches   Number of Whorls of Branches  
 Position of Branches: 1 = Ascending (Yancey) 2 = Spreading (Cayuse) 3 = Drooping (Markton)  
 4 = Pectinate (White Tarter) 5 = Confused (Storm King)

**8. RACHIS:**

1 = Recurved (Yancey) 2 = Erect (Walken)   mm Second Floret Rachilla Segment Length  
 Second Floret Rachilla Segment: 1 = Hairless 2 = Hairy  Rachilla Hairs: 1 = Short 2 = Long

**9. SPIKELET:**

Spikelet Separation by: 1 = Abscission 2 = Semi-Abscission 3 = Fracture  
 Floret Separation by: 1 = Disarticulation 2 = Heterofracture 3 = Basifracture  
  Florets per Spikelet (Mean no.)

**10. GLUMES:** (Glume Color: The Royal Horticultural Society's or any recognized color chart should be used to determine the leaf color of the described variety.)

mm Width   mm Length   No. of Veins on Glumes  Color: 1 = White 2 = Yellow 3 = Red 4 = Striped

**11. LEMMA:** (Lemma Color: The Royal Horticultural Society's or any recognized color chart should be used to determine the leaf color of the described variety.)

mm Length  Color: 1 = White 2 = Yellow 3 = Red 4 = Gray 5 = Black  
 Hairiness of Dorsal Surface: 1 = Hairless 2 = Hairy

**12. AWN:** (First Floret)

Occurrence: 1 = Absent (Walken) 2 = Infrequent (Yancey) 3 = Common (Chilocco) 4 = Frequent (Random)  
 Type: 1 = Non-Twisted 2 = Twisted 3 = Twisted Geniculate  
 mm Awn Length

**13. SEED:**☐ 1

Florescence Under Ultraviolet Light:

1 = Florescent

2 = Non-Florescent

☐ 1

Basal Hair:

1 = Absent (Florida 501)

4 = Several to Numerous (Florilee)

2 = Absent to Few (Yancey)

5 = Numerous (Red Rustproof)

3 = Few to Several (Lee)

☐ . ☐

mm Basal Hair Length

☐ 2 ☐ 9 ☐ 8

gms per 1000 Seeds

☐ 3 ☐ 0

mg Groat Weight (each)

☐ 1 ☐ 6 ☐ 0

% Groat Protein

☐ 6 ☐ 4

% Groat Oil

**14. INSECTS:** (0 = Not Tested 1 = Susceptible 2 = Resistant)☐ 0

Cereal Leaf Beetle

☐ 0

Bluegrass Billbug

☐ 0

Grain Bug (C. Sayi)

☐ 0

Nematode (Type) \_\_\_\_\_

☐ 0

Green Bug (Biotype) \_\_\_\_\_

☐

Other (Specify) \_\_\_\_\_

**15. DISEASE:** (0 = Not Tested 1 = Susceptible 2 = Resistant)☐

Halo Blight

☐

Powdery Mildew

☐

Septoria Leaf Blotch

☐

Soil-Borne Mosaic Virus

☐Helminthosporium  
Leaf Blotch☐

Yellow Dwarf Virus

☐

Victoria Blight

☐

Other (Specify) \_\_\_\_\_

Specify Races Tested:

☐ 2

Crown Rust

☐ 2

Stem Rust

☐ 0

Covered Smut

☐ 0

Loose Smut

Races Susceptible	Races Resistant
CR 230	CR 254, NDCRC 05
TJS + NA67	NA27

**16. INDICATE THE VARIETY YOU BELIEVE MOST CLOSELY TO RESEMBLE THAT SUBMITTED:**

CHARACTER	VARIETY	CHARACTER	VARIETY
Plant Tillering	HiFi	Leaf Color	HiFi
Leaf Size	HiFi	Leaf Carriage	HiFi
Seed Color	HiFi	Seed Shape	HiFi

**COMMENTS:**

Exhibit D, Table 1

**North Dakota Oat Variety Trial 2001-2005 Summary.**

Genotype	Grain Yield					Test Weight				
	2005 10 Loc Mean	2004-05 2 yr Mean	2003-05 3 yr Mean	2002-05 4 yr Mean	2001-05 5 yr Mean	2005 10 Loc Mean	2004-05 2 yr Mean	2003-05 3 yr Mean	2002-05 4 yr Mean	2001-05 5 yr Mean
	----- bu/a -----					----- Lb/Bushhel -----				
Assiniboia AC	115	115	127	118	121	33.6	35.9	36.1	35.6	35.2
Beach	116	116	133	122	125	37.9	39.2	39.1	38.5	38.1
Ebeltoft	114	114	134	123	125	34.4	36.0	35.9	35.3	34.9
HiFi	136	136	140	127	129	37.0	38.2	38.0	37.2	37.0
Hytest	93	93	108	99	101	39.3	40.6	40.7	40.1	39.8
Jerry	95	95	117	109	111	36.6	38.4	38.7	38.2	37.8
Killdeer	123	123	142	130	131	34.9	36.8	36.7	36.1	35.6
Maida	117	117				37.0	38.0			
Morton	124	124	131	120	124	37.3	38.6	38.5	38.0	37.8
Otana	87	87	117	109	110	32.1	35.0	35.1	34.8	34.3
<b>Souris</b>	<b>130</b>	<b>130</b>	<b>141</b>	<b>130</b>	<b>132</b>	<b>37.4</b>	<b>38.4</b>	<b>38.2</b>	<b>37.6</b>	<b>37.3</b>
Loc/yr	10	20	28	36	42	10	20	28	36	42

Exhibit D, Table 2.

**North Dakota Oat Variety Trial 2001-2005 Summary.**

Grain Quality										
Genotype	2003-2005				Fargo Groat Beta-Glucan			Fargo Groat Oil		
	Kern <5/64"				2003	2005	2 yr			2 yr
	2003	2004	2005	3 yr	BG		2003,05	2003	2005	2003,05
Proportion				%			%			
Assiniboia AC	0.07	0.043	0.130	0.056	2.8	5.1	4.0	9.5	9.2	9.4
Beach	0.11	0.075	0.132	0.089	4.9	5.7	5.3	10.1	9.8	10.0
HiFi	0.07	0.062	0.222	0.067	6.1	7.5	6.8	9.0	8.9	8.9
Hytest	0.09	0.078	0.117	0.084	5.2	6.2	5.7	7.7	7.5	7.6
Jerry	0.05	0.032	0.171	0.040	3.7	5.5	4.6	7.4	7.2	7.3
Killdeer	0.11	0.075	0.154	0.089	4.6	6.7	5.7	7.8	8.3	8.1
Maida	0.12	0.085	0.101	0.099		5.4			8.6	
Morton	0.20	0.171	0.128	0.183	4.5	5.0	4.8	7.4	7.0	7.2
Otana	0.63	0.592	0.264	0.610	5.4	6.1	5.7	7.6	8.6	8.1
Souris	0.19	0.160	0.193	0.172	4.9	5.5	5.2	7.3	6.9	7.1
Loc/yr	9	10	9	28	1	1	2	1	1	2.0

#200800063

Exhibit D, Table 3.

**2005-2007 Oat Variety Trial Head and Height Summary Over Locations**

Genotype	Heading Date >31-May					Plant Height				
	2005	2006	2007	2006-07	2005-07	2005	2006	2007	2006-07	2005-07
	days					cm				
AC Assiniboia	31.1	31.1	24.8	28.0	29.0	103	89	96	93	96
Beach	28.9	28.9	22.5	25.7	26.8	109	96	105	101	104
HiFi	28.3	28.3	23.5	25.9	26.7	106	91	98	94	98
Hytest	27.4	27.4	20.2	23.8	25.0	108	96	105	100	103
Jerry	26.9	26.9	20.4	23.6	24.7	105	90	100	95	98
Killdeer	28.0	28.0	21.9	24.9	25.9	96	81	88	84	88
Maida	29.0	29.0	22.2	25.6	26.7	106	91	99	95	99
Morton	29.5	29.5	23.4	26.4	27.5	113	96	107	101	105
Otana	30.3	30.3	24.4	27.3	28.3	108	96	99	97	101
Souris	28.9	28.9	22.8	25.8	26.9	99	82	93	88	92
Youngs	30.1	30.1	23.9	27.0	28.1	107	95	105	100	102
EXP MEAN	29.7	29.7	23.3	25.8	26.9	105	80	99.8	90.0	95.2
No. Locations	8 loc	8 loc	7 loc	15 loc	23 loc	8 loc	8 loc	6 loc	14 loc	22 loc

Exhibit D, Table 4

**Seedling infection type after inoculation with crown rust composite NDCR05**

Genotype	2005	2006	2007
	IT		
AC Assiniboia	4	4	4
Beach	4	4	4
HiFi	;	;	;
Hytest	4	4	4
Jerry	4	4	4
Killdeer	4	4	4
Maida	4	4	4
Morton	4	4	4
Otana	4	4	4
Souris	;	;	;
Youngs	4	4	4

Exhibit D, Table 5

**Seedling infection type after inoculation with stem rust race NA67.**

Genotype	2005	2006	2007
	IT		
AC Assiniboia	2	2	2
Beach	2	2	2
HiFi	2	2	2
Hytest	4	4	4
Jerry	2	2	2
Killdeer	2	2	2
Maida	;	;	;
Morton	2	2	2
Otana	4	4	4
Souris	1	1	1
Youngs	4	4	4

U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE**EXHIBIT E**  
**STATEMENT OF THE BASIS OF OWNERSHIP**

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). The information is held confidential until the certificate is issued (7 U.S.C. 2426).

1. NAME OF APPLICANT(S)  NDSU Research Foundation	2. TEMPORARY DESIGNATION OR EXPERIMENTAL NUMBER  ND961161	3. VARIETY NAME  Souris
4. ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP, and Country)  c/o Executive Director PO Box 5002 Fargo, ND 58102-5002	5. TELEPHONE (Include area code)  (701) 231-8931	6. FAX (Include area code)  (701) 231-6661
	7. PVPO NUMBER  <b>#200800063</b>	

8. Does the applicant own all rights to the variety? Mark an "X" in the appropriate block. If no, please explain.

☒

YES

☐

NO

9. Is the applicant (individual or company) a U.S. national or a U.S. based company? If no, give name of country.

☒

YES

☐

NO

10. Is the applicant the original owner?

☐

YES

☒

NO

If no, please answer one of the following:

a. If the original rights to variety were owned by individual(s), is (are) the original owner(s) a U.S. National(s)?

☐

YES

☐

NO

If no, give name of country

b. If the original rights to variety were owned by a company(ies), is (are) the original owner(s) a U.S. based company?

☒

YES

☐

NO

If no, give name of country

11. Additional explanation on ownership (Trace ownership from original breeder to current owner. Use the reverse for extra space if needed):

See additional Exhibit E. Statement of the Basis of the Applicant's ownership included in the application.

**PLEASE NOTE:**

Plant variety protection can only be afforded to the owners (not licensees) who meet the following criteria:

1. If the rights to the variety are owned by the original breeder, that person must be a U.S. national, national of a UPOV member country, or national of a country which affords similar protection to nationals of the U.S. for the same genus and species.
2. If the rights to the variety are owned by the company which employed the original breeder(s), the company must be U.S. based, owned by nationals of a UPOV member country, or owned by nationals of a country which affords similar protection to nationals of the U.S. for the same genus and species.
3. If the applicant is an owner who is not the original owner, both the original owner and the applicant must meet one of the above criteria.

The original breeder/owner may be the individual or company who directed the final breeding. See Section 41(a)(2) of the Plant Variety Protection Act for definitions.

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0581-0055. The time required to complete this information collection is estimated to average 0.1 hour per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

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To file a complaint of discrimination, write USDA, Director, Office of Civil Rights, Room 326-W, Whitten Building, 14th and Independence Avenue, SW, Washington, D.C. 20250-9410 or call (202) 720-5964 (voice and TDD). USDA is an equal opportunity provider and employer.



## 19e. Exhibit E. Statement of the Basis of the Owner's Ownership

Dr. Michael S. McMullen, an employee of the North Dakota Agricultural Experiment Station and North Dakota State University, is a plant breeder who developed 'Souris' spring oat for which Plant Variety Protection is hereby sought. The employee by agreement and because of the condition of the use of facilities and funds of the North Dakota Agricultural Experiment Station and North Dakota State University has assigned all ownership rights to Souris oat to the North Dakota Agricultural Experiment Station and the North Dakota State University.

North Dakota State University on behalf of the North Dakota Agricultural Experiment Station has assigned all ownership to the NDSU Research Foundation. NDSU/RF is a nonprofit corporation set up to own and manage the intellectual property of North Dakota State University.

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0581-0055. The time required to complete this information collection is estimated to average 5 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

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**U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE  
SCIENCE AND TECHNOLOGY  
PLANT VARIETY PROTECTION OFFICE  
BELTSVILLE, MD 20705**

**EXHIBIT F  
DECLARATION REGARDING DEPOSIT**

<b>NAME OF OWNER (S)</b>  NDSU Research Foundation	<b>ADDRESS (Street and No. or RD No., City, State, and Zip Code and Country)</b>  1735 NDSU Research Park Drive Fargo, ND 58105	<b>TEMPORARY OR EXPERIMENTAL DESIGNATION</b>  ND961161  <b>VARIETY NAME</b> 'Souris'
<b>NAME OF OWNER REPRESENTATIVE (S)</b>  Dale Zetocha	<b>ADDRESS (Street and No. or RD No., City, State, and Zip Code and Country)</b>  1735 NDSU Research Park Drive Fargo, ND 58105	<div style="background-color: #cccccc; padding: 2px;"><b>FOR OFFICIAL USE ONLY</b></div> <b>PVPO NUMBER</b> 200800063

I do hereby declare that during the life of the certificate a viable sample of propagating material of the subject variety will be deposited, and replenished as needed periodically, in a public repository in the United States in accordance with the regulations established by the Plant Variety Protection Office.

Dale Zetocha, Ex. Dir.  
Signature

1/11/08  
Date

Michael McMullen  
Michael McMullen, NDSU Breeder

1/11/08  
Date